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# SYCAMORE STOCKBROKERS

## *Securities Buy / Sell Order Form*

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### 1. Client Profile

**Full Name:** \_\_\_\_\_

**Client Account Number:** \_\_\_\_\_

**National ID / Passport No.:** \_\_\_\_\_

**Telephone:** \_\_\_\_\_

**Email Address:** \_\_\_\_\_

**Residential / Postal Address:** \_\_\_\_\_

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### 2. Transaction Instruction

Please indicate your instruction:

- BUY SECURITIES
  - SELL SECURITIES
- 

### 3. Security & Order Details

**Security Name (Company):** \_\_\_\_\_

**Ticker Symbol:** \_\_\_\_\_

**Quantity (Number of Shares):** \_\_\_\_\_

**Order Type:**

- MarketOrder *(Execute at prevailing market price)*
- Limit Order *(Execute at specified price)*

**Limit Price (MWK):** \_\_\_\_\_

**Estimated Transaction Value (MWK):** \_\_\_\_\_

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## 4. Settlement & Payment Instructions

### For BUY Orders

**Preferred Payment Method:**

- BankTransfer
- Mobile Money
- Cheque

**Bank Name:** \_\_\_\_\_

**Account Name:** \_\_\_\_\_

**Account Number:** \_\_\_\_\_

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### For SELL Orders

**Proceeds Payment Method:**

- BankTransfer
- Mobile Money

**Payment Details (Bank / Mobile Wallet):**

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## 5. Order Validity

- Day Order *(Valid for one trading day)*
- Good Till Cancelled (GTC)
- Good Till Date: \_\_\_\_\_

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## 6. Client Declaration & Authorization

I/We hereby irrevocably instruct **Sycamore Stockbrokers** to execute the above order on my/our behalf in accordance with the rules and regulations of the Malawi Stock Exchange (MSE).

I/We confirm that:

- The information provided is complete and accurate
- I/We fully understand the risks associated with securities trading
- I/We accept all applicable brokerage fees, taxes, and statutory charges
- I/We authorize Sycamore Stockbrokers to act on this instruction without further reference unless otherwise specified

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## 7. Signatories

**Client Name:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

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## 8. For Official Use Only

**Order ReceivedBy:** \_\_\_\_\_

**Authorized By:** \_\_\_\_\_

**Date & Time Received:** \_\_\_\_\_

**Trade ReferenceNumber:** \_\_\_\_\_

**Execution Status:**

- Pending
  - Executed
  - Partially Executed
  - Cancelled
-

## **9. Important Notes**

- All orders are subject to market availability and Malawi Stock Exchange trading rules
- Prices of securities may fluctuate and are not guaranteed
- Settlement timelines are governed by MSE regulations
- Brokerage fees and taxes will be applied as per prevailing schedules