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INVESTMENT MANDATE FORM

IMPORTANT NOTICE

Please complete this form in BLOCK LETTERS and tick (✓) where applicable.

For the purposes of this mandate:

- "Client" refers to the individual or entity named in Section A.
- "Investment Manager" refers to Sycamore Stockbrokers Limited.

SECTION A: CLIENT DETAILS

Client Full Name: _____

Client Account Number: _____

National ID / Passport Number: _____

Phone Number: _____

Email Address: _____

Residential / Postal Address: _____

SECTION B: INVESTMENT OBJECTIVES

Please indicate your investment objective(s):

- Capital Growth
- Dividend Income Generation
- Wealth Preservation
- Long-Term Wealth Accumulation
- Retirement Planning
- Other (Specify): _____

Investment Horizon:

- Less than 1 Year
 - 1 – 3 Years
 - 3 – 5 Years
 - More than 5 Years
-

SECTION C: SHARE INVESTMENT MANDATE

I/We hereby appoint and authorize Sycamore Stockbrokers Limited to purchase, sell, hold and manage listed shares on my/our behalf in accordance with the instructions contained in this mandate.

AUTHORITY GRANTED

- Discretionary Mandate

I/We authorize Sycamore Stockbrokers Limited to make investment decisions relating to the purchase and sale of listed shares without obtaining prior approval for each transaction, subject to the objectives and restrictions contained herein.

- Non-Discretionary Mandate

I/We require Sycamore Stockbrokers Limited to obtain my/our approval before executing any purchase or sale transaction.

PERMITTED INVESTMENTS

This mandate shall apply only to:

- Shares listed on the Malawi Stock Exchange (MSE)
- Initial Public Offerings (IPOs)
- Rights Issues
- Bonus Share Allocations
- Other listed equity securities approved by the Malawi Stock Exchange

INVESTMENT RESTRICTIONS (OPTIONAL)

Please specify any restrictions or special instructions:

SECTION D: EQUITY INVESTMENT INSTRUCTIONS

Investment Strategy

- Long-Term Capital Appreciation
- Dividend Income Generation
- Balanced Strategy (Growth and Income)
- Active Trading (Short to Medium Term)
- Other (Specify): _____

Investment Amount

MWK _____

Preferred Sectors / Industries

- Banking and Financial Services
- Telecommunications

Consumer Goods

Agriculture

Property and Construction

Manufacturing

No Preference

Other: _____

Risk Tolerance

Low Risk (Capital Preservation)

Moderate Risk (Balanced Risk and Return)

High Risk (Growth-Oriented / Higher Volatility)

Stock Selection Instructions

Full discretion granted to Sycamore Stockbrokers Limited

Prior approval required before executing trades

Invest only in securities approved by the Client

Approved Securities (if applicable)

SECTION E: DIVIDEND INSTRUCTIONS

Upon receipt of dividends:

- Pay dividends to the Client
 - Reinvest dividends into approved shares
 - Hold dividends in trading account pending further instructions
 - Other Instructions:
-

Bank Name: _____

Branch: _____

Account Name: _____

Account Number: _____

SECTION F: REPORTING PREFERENCES

Preferred Reporting Format

- Electronic Reports
- Printed Reports
- Both Electronic and Printed Reports

Reporting Frequency

- Monthly
- Quarterly (Default)
- Semi-Annual
- Annual

Preferred Delivery Method

- Email
- Physical Collection

Postal Delivery

SECTION G: PROXY AUTHORIZATION

I/We hereby:

Authorize Sycamore Stockbrokers Limited to act as my/our proxy to attend, speak and vote on my/our behalf at shareholder meetings for investments held under this mandate.

Do Not Authorize proxy representation.

Client Signature: _____

Date: _____

SECTION H: TERMS AND CONDITIONS

1. This mandate relates solely to investments in listed shares and other equity securities traded through the Malawi Stock Exchange.
2. All client funds and securities shall be handled in accordance with applicable laws, regulations and Malawi Stock Exchange Rules.
3. Investments in shares are subject to market fluctuations. The value of investments may rise or fall and past performance is not indicative of future results.
4. The Client acknowledges and accepts the risks associated with investing in listed securities, including the possibility of capital loss.
5. Any applicable brokerage commissions, transaction costs, taxes, duties, levies or regulatory fees shall be borne by the Client unless otherwise agreed in writing.
6. This mandate shall remain valid until terminated by either party upon providing thirty (30) days written notice.
7. Upon termination, all securities and related proceeds shall be transferred or disposed of in accordance with the Client's written instructions.
8. Sycamore Stockbrokers Limited shall be entitled to all fees, commissions and expenses accrued prior to termination.
9. Sycamore Stockbrokers Limited shall exercise reasonable skill, care and diligence in carrying out this mandate but shall not guarantee investment performance.

10. This mandate shall be governed by the laws and regulations applicable in the Republic of Malawi.

SECTION I: CLIENT AUTHORIZATION

By signing below, I/We confirm that:

- I/We have read and understood this Investment Mandate Form.
- I/We understand the risks associated with investing in listed shares.
- I/We agree to the Terms and Conditions contained herein.
- I/We authorize Sycamore Stockbrokers Limited to act in accordance with this mandate.

CLIENT SIGNATURE(S)

Name: _____

Signature: _____

Date: _____

FOR OFFICIAL USE ONLY

Authorized Representative: _____

Signature: _____

Date: _____

Reviewed By: _____

Account Reference: _____

Date Received: _____